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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Charles E. Taylor et al.
Appl. No.: 10/074,379
Confirm. No.: 5582
Filed: February 12, 2002
Title: Electro-Kinetic Air Transporter and Conditioner
Device with Enhanced Maintenance Features and
Enhanced Anti-Microorganism Capability

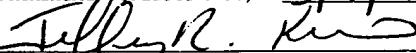
PATENT APPLICATION

Art Unit: 1753
Examiner: Rodney G. McDonald

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Jeffrey R. Kurin (Attorney Signature)
Jeffrey R. Kurin, Reg. No. 41,132
Signature Date: 3/17/04

FOURTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognocrite/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.

This statement should be considered because:

- 37 CFR §1.97(b). This statement qualifies under 37 CFR §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.

— **37 CFR §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 CFR §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

— -- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e).
-- OR --
- (2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

— **37 CFR §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 CFR §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: 3/17/04

By: 
Jeffrey R. Kurin, Reg. No. 41,132

Fliesler Meyer LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
415/362-3800 Telephone

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INFORMATION DISCLOSURE CITATION
BY APPLICANTApplicant
Charles E. Taylor et al.Filing Date
February 12, 2002Group Art Unit
1753

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Subclass	Filing Date
1.	1,791,338 A	2/3/1931	Wintermute			4/12/1927
2.	1,869,335 A	7/26/1932	Day			12/13/1926
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Applicant

Charles E. Taylor et al.

Filing Date

February 12, 2002

Group Art Unit

1753

O I P E J C
FEB 01 2002
INFORMATION DISCLOSURE CITATION
BY APPLICANT

PATENT & TRADEMARK OFFICE

6,613,277 B1

9/2/2003

Monagan

6/18/1999

U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
30.	2002/0190658 A1	12/19/2002	Lee
31.	2002/0195951 A1	12/26/2002	Lee

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	Inventor	Petition to Expunge	
				Yes	No
32.	10/278,193	10/21/2002	Reeves		X
33.	10/405,193	4/1/2003	Taylor		X

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
34.	WO03/009944 A1	2/6/2003	International	✓	
35.	WO03/013620 A1	2/20/2003	International	✓	
36.	EP 0433152 A1	12/10/1990	Europe	✓	
37.	CN 2111112 U	7/29/1972	China	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NonAsterisked Items: Copies not submitted because they were submitted in prior application , filed , and relied upon under 35 USC §120.



Maria

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Application No.: 10/074,379

Filing Date: February 12, 2002

Title: Electro-Kinetic Air Transporter and Conditioner Device with Enhanced Maintenance
Features and Enhanced Anti-Microorganism Capability

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File No. SHPR-01028US6

Date Mailed March 17, 2004

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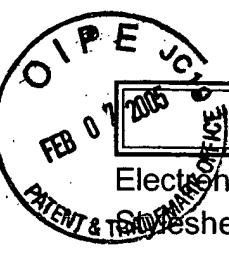
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT



Electronic Version v18

Searchsheet Version v18.0

Title of Invention	Electro-Kinetic Air Transporter-Conditioner Device with Enhanced Maintenance Features and Enhanced Anti-Microorganism Capability									
Application Number:	10/074379 *10/074379*									
Confirmation Number:	5582									
First Named Applicant:	Charles Taylor									
Attorney Docket Number:	SHPR-01028US6									
Art Unit:	1753									
Examiner:	Rodney G McDonald									
Search string:	(0653421 or 0995958 or 4102654 or 5077500 or D329284 or D332655 or 5248324 or 5266004 or 5290343 or 5435817 or 5532798 or D375546 or D377523 or 5641342 or D389567 or 5980614 or 6508982 or 3374941 or 6494940 or 4955991 or 4967119 or 4976752 or 5006761 or 5012159 or 5053912 or 5180404 or 5766318 or 5993521 or 6117216 or 6203600 or 6398852 or 5012093 or D315598 or 3026964 or 6603268 or 6749667 or 20040052700 or 20040065202).pn.									
US Patent Documents										
Note: Applicant is not required to submit a paper copy of cited US Patent Documents										
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass			
	1	0653421	1900-07-10	Lorey						
	2	0995958	1911-06-20	Goldberg						
	3	4102654	1978-07-25	Pellin						
	4	5077500	1991-12-31	Torok et al						
	5	D329284	1992-09-08	Patton						
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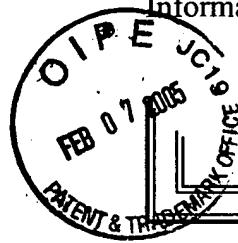
US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20040052700	2004-03-18	Kotlyar et al			
	2	20040065202	2004-04-08	Gatchell et al			

Signature

Examiner Name	Date
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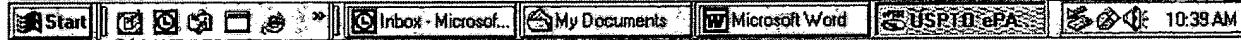
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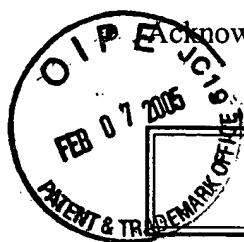
Name	Signature	Date	Signature Cap
Jeffrey R Kurin	/s/	20040928	Attorney
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(name)	Signature	Date	Update
	/s/	2004-09-28	
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Stylesheet Version v1.1.1

Title of Invention	Electro-Kinetic Air Transporter-Conditioner Device with Enhanced Maintenance Features and Enhanced Anti-Microorganism Capability																									
Submission Type:	Information Disclosure Statement																									
Application Number:	10/074379	*10/074379*																								
EFS ID:	69387																									
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USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217-9197 Website: http://www.uspto.gov/ebc/																									
First Named Applicant:	Charles Taylor																									
Attorney Docket Number:	SHPR-01028US6																									
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